

DEC 21 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Kazuo Tanaka et al.

Serial No.: 09/964,863

Group Art Unit: 2812

Filing Date: September 28, 2001

Examiner: Unknown

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING APPARATUS,
AND METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE

Assistant Commissioner of Patents
Washington, D.C. 20231

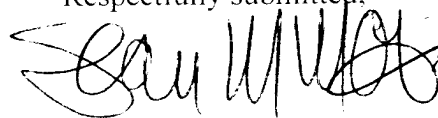
SUBMISSION OF DECLARATION

Sir:

In response to the Notice to File Missing Parts of Application dated October 26, 2001 (copy attached), submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$960 to cover the \$710 application filing fee, excess claim fee of \$80 the \$130 surcharge for the late filing of the declaration, and \$40 for the assignment recordation fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

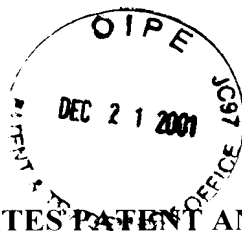
Respectfully submitted,



Sean M. McGinn
Registration No. 34,386

Date: 12/21/01
McGinn & Gibb, PLLC
8321 Old Courthouse Road, Suite 200
Vienna, VA 22182-3817
(703) 761-4100
Customer No. 21254

Docket No. KOKUSAI 076



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SUBMISSION OF ASSIGNMENT

Sir:

Kindly record and return to the undersigned the attached Assignment from Kazuo Tanaka et al. to Hitachi Kokusai Electric, Inc., for the above-identified patent application. The recording fee \$40.00 is included in the total fee for filing the Missing Parts for the above-identified application, a check for which accompanies this submission.

Respectfully submitted,

Sean M. McGinn
Registration No. 34,386

Date: 12/21/01
McGinn & Gibb, PLLC
Intellectual Property Law
8321 Old Courthouse Road, Suite 200
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	APPLICATION NUMBER
09/964,863	09/28/2001	Kazuo Tanaka	KOKUSAI 076

21254
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CONFIRMATION NO. 2372

FORMALITIES LETTER



OC000000006979225

Date Mailed: 10/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Adjustment date: 08/10/01
12/26/2001 SFELEKE1 06000100
03 FC:102

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

Adjustment date: 08/10/01
12/26/2001 SFELEKE1 06000100
02 FC:101
04 FC:105

34.00 DR

-740.

A copy of this notice MUST be returned with the reply.

710.
80.
130.

Customer Service Center
Initial Patent Examination Division (703) 368-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06 DEC 2001 EXHIBIT

01 FC:101
02 FC:102
03 FC:105